

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

MCCAFFERY, THOMAS
principal deputy assistant secretary, health affairs, health affairs
Date of Appointment: 08/23/2017

Other Federal Government Positions Held During the Preceding 12 Months: None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
eSigned in FDM by:
THOMAS MCCAFFERY
User ID: 9BC253610751B6E1
11/21/2017

Agency Ethics Official's Opinion - On the basis of information contained in this report. I conclude that the filer is in compliance with applicable laws and regulations(Subject to any comments below).
eSigned in FDM by:
JEFFREY A. GREEN
User ID: 06EEE68944867E81
02/13/2018

Other review conducted by
Supervisor:
eSigned in FDM by:
ROBERT WILKIE
User ID: C73489F741DE9164
02/12/2018

U.S. Office of Government Ethics Certification

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
2.	2	SEP IRA tied to self-employed consulting business	(01/03/18, MONDAY, RENEE M.): Filer confirmed via email dated 1/1/18 t he underlying asset to this SEP is American Express Centurion - value range (\$1-15K); no income. Filer will add this to next annual report, as underlying asset to the parent account.

5.	1	Spouse's income as self-employed consultant	(01/03/18, MONDAY, RENEE M.): Filer confirmed via email dated 1/1/18 - wife's business was a self employed marketing and business development consultant.
5.	1	Spouse's income as self-employed consultant	(02/13/18, MONDAY, RENEE M.): Filer confirmed this business is a marketing and business development consultant position - freelance.

1. Filer's Positions Held Outside United States Government

#	NAME	CITY, STATE	OGE TYPE	POSITION	FROM	TO
1	Blue Shield of California	Sacramento, CA, USA	Business Enterprise Officer		05/2007	05/2016
2	Center for Healthcare Decisions	Sacramento, CA, USA	Non-Profit Organiza tion	Director	01/2012	08/2017
3	Consultant - Freelance	Sacramento, CA, USA	Business Enterprise Consultant		08/2016	07/2017
4	Golden 1 Credit Union	Sacramento, CA, USA	Business Enterprise Director		09/2004	10/2017
5	Sacramento Children's Home	Sacramento, CA, USA	Non-Profit Organiza tion	member of audit comm ittee	10/2016	08/2017
6	St. Francis High School	Sacramento, CA, USA	Educational Institu tion	Director	12/2013	08/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity 401k: Blue Shield of California	N/A	None (or less than \$1,001)		None (or less than \$201)
1.1	TRP Retirement 2030	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	ABF Large Cap Val Inst	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	FID US Eq Indx CL 2	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.4	TRP Growth Stock	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.5	AMG TS Mid CP GTH IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.6	Artisan Mid Cap Val	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	SEP IRA tied to self-employed consulting business	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Blue Cross Blue Shield Pension Plan	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Blue Shield of California pension plan payout	N/A		Retirement Plan or Account	\$220000
5	Blue Shield of California Salary And Bonus	N/A		Salary	\$346000
6	Blue Shield of California Severance Payment	N/A		severance	\$409000
7	Leavitt Partners (work as self-employed consultant	N/A		Income from personal services	\$63000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR OTHER PARTY TO AGREEMENT/ARRANGEMENT	CITY/STATE	STATUS AND TERMS	DATE
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1	blue shield of California	san francisco, CA, USA	Continuing participation in Employee Benefit Plan: C 05/2007 ontinued enrollmt in a pension plan managed by the Blue Cross Blue Shield Association; benefit paid out upon selected retirement age
2	Blue Shield of California	San Francisco, CA, USA	Severance: Received lump sum payment in 2016 05/2016
3	CalPERS	Sacramento, CA, USA	Continuing participation in Employee Benefit Plan: P 09/1991 articipation in California Public Employee/Retirement System pension program; benefit to be paid out at ti me of selected "retirement"

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Blue Shield of California	Sacramento/San Francisco, CA	Salary and bonus compensation for employmen t as Vice President.
2	Leavitt Partners	Salt Lake City, UT	Payment for consulting services

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse's income as self-employed consultant	N/A		Income from personal services	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential home, Nashville, TN	N/A	\$250,001 - \$500,000	Rent and Royalties	\$5,001 - \$15,000
2	NNN Ashley Overlook - 1.946% ownership in a commercia l building in Charleston, SC	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	Franklin Templeton Income Fund Class A	Yes	\$50,001 - \$100,000		None (or less than \$201)
4	Tract of undeveloped land in Kingvale, CA	N/A	\$50,001 - \$100,000		None (or less than \$201)
5	Checking, Savings, and Money Market Accounts with Gol den 1 Credit Union	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
6	Life Insurance Benefit/Investment Vehicle with Penn M utual Life Insurance Co.	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	IRA with LPL Investments	N/A	None (or less than \$1,001)		None (or less than \$201)
7.1	American Express Centurion	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.2	Allianzgi Technology CL C	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.3	Investment Company of America	Yes	\$15,001 - \$50,000		None (or less than \$201)
7.4	Lord Abbett Floating Rate CL C	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Inherited IRA with LPL Investments	N/A	None (or less than \$1,001)		None (or less than \$201)
8.1	HSBC Bank USA National Association	Yes	\$1,001 - \$15,000		None (or less than \$201)

8.2	Blackrock Strategic Income OPPTYs	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.3	Growth Fund of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
9	Rollover IRA with Lincoln Financial Group	N/A	None (or less than \$1,001)		None (or less than \$201)
9.1	Delaware VIP Smid Cap Core	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.2	Delaware Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.3	Fidelity Contrafund	Yes	\$15,001 - \$50,000		None (or less than \$201)
10	Investment Account with LPL Investments	N/A	None (or less than \$1,001)		None (or less than \$201)
10.1	American Express Co	N/A	\$15,001 - \$50,000		None (or less than \$201)
10.2	Ameriprise Financial	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.3	American Express Centurion	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.4	Blackhawk network holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.5	Chemours Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.6	Comcast Corp CL A New	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.7	Dowdupont Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.8	Exxon Mobil	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.9	Ford motor co	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.10	US Silica Holdings Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
10.11	Unilever	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
10.12	3M Company	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.13	Artisan Mid Cap Value Advisor	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.14	Baron Asset Instl CL	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.15	Dodge and Cox Stock	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.16	First Trust Financials Alphasdex ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.17	Goldman Sachs US Equity	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.18	Growth Fund of America CL F2	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.19	Harbor Cap Apprec Instl CL	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.20	JP Morgan US Large Cap Core Plus CL	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.21	JP Morgan Growth Advantage	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.22	JP Morgan Strategic Income Opptys CL	Yes	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

10.23	Lord Abbett Floating Rate CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.24	Lord Abbett Short Duration	Yes	\$50,001 - \$100,000		None (or less than \$201)
10.25	T Rowe Price Dividend Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	EZ 8 Motels Inc, San Diego, CA	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
12	Kestra Investment Account	N/A	None (or less than \$1,001)		None (or less than \$201)
12.1	Chevron Corporation	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
12.2	DFA International Core Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.3	DFA US Large Co Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	Oppenheimer Rochester LMTD Term CA Muni	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.5	vanguard short term bond index	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	Rollover IRA with Kestra Investment Services	N/A	None (or less than \$1,001)		None (or less than \$201)
13.1	American Amcap Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.2	Blackrock Mulit-Asset Income Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.3	DFA International Core Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.4	DFA Real Estate Sec PRTF Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.5	Dreyfus International Bond Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.6	Fidelity Advisor Small Cap Value CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.7	Janus Henderson JAENX	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.8	JANUS Henderson JAFIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.9	Janus Henderson JAVTX	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.10	Invesco Diversified Dividend Investor	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.11	MFS International Value FD CL	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.12	Metropolitan West TOT Return Bond CLM	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.13	Oppenheimer Dev Markets Class A	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.14	Openheimer International Growth Class A	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.15	Oppenheimer Senior Floating Rate	Yes	\$1,001 - \$15,000		None (or less than \$201)
13.16	T Rowe Price Value Fund Advisor	Yes	\$1,001 - \$15,000		None (or less than \$201)

13.17	Prime Cap Odyssey Growth Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.18	PIMCO Income Fund CL D	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.19	PIMCO Real Return Class D	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.20	Oppenheimer Fund Alternatives CL Y	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.21	Deutsche Select Alt Allocations FD S	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.22	Templeton Global Bond Class A	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.23	Vanguard Short Term Bond Index Admiral	Yes	\$1,001 - \$15,000	None (or less than \$201)
13.24	Victory Sycamore Established Value A	Yes	\$1,001 - \$15,000	None (or less than \$201)
14	SEP IRA with Kestra Investment Services	N/A	None (or less than \$1,001)	None (or less than \$201)
14.1	Bank of China/Goldman Sachs Bank	N/A	\$1,001 - \$15,000	None (or less than \$201)
15	Roth IRA with Kestra Investment Services	N/A	None (or less than \$1,001)	None (or less than \$201)
15.1	Schwab Market Trade Growth Investor	Yes	\$1,001 - \$15,000	None (or less than \$201)
16	Beneficiary IRA with Kestra Investment Services	N/A	None (or less than \$1,001)	None (or less than \$201)
16.1	AC Alternatives Market Neutral Value Inst	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.2	American Century CA International Term	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.3	Blackrock Multi-Asset Income Inst	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.4	BP Prudhoe Bay Rty Tr Unit Ben Inst	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.5	Dimensional Fund Advisors Emerging Markets Core Equity Portfolio	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.6	Dimensional Fund Advisors International Core Equity Portfolio Inst	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.7	Dimensional Large Cap International	Yes	\$50,001 - \$100,000	None (or less than \$201)
16.8	Dimensional Fund Advisors Real Estate Securities Portfolio	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.9	Dimensional Fund Advisors US Large Company Portfolio	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.10	MFS Global Alternative Strategy Fund Class 1	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.11	Fidelity Advisor Small Cap Value Fund Class 1	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.12	Natixis Funds Trust II A8G Global Alternatives Fund Class A	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.13	Harbor Capital Appreciation Fund Investor Class	Yes	\$15,001 - \$50,000	None (or less than \$201)

16.14	Invesco International Small Company Fund Class Y	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.15	Janus Henderson Enterprises Fund Class C	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.16	Janus Henderson Flexible Bond Class T	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.17	Janus Henderson Venture Fund Class T	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.18	Metropolitan West Total Return Bond Fund Class M	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.19	Oppenheimer International Growth Fund Class A	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.20	PIMCO Income Fund Class D	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.21	PIMCO Real Return Fund Class D	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.22	Templeton Global Bond Fund Class A	Yes	\$1,001 - \$15,000	None (or less than \$201)
16.23	Vanguard Short-Term Bond Index Fund Admiral Shares	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.24	Victory Sycamore Established Value Fund Class A	Yes	\$15,001 - \$50,000	None (or less than \$201)
16.25	Wells Fargo International Tax/AMT-Free Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)
17	HomeZada Company, Sacramento, CA	N/A	\$15,001 - \$50,000	None (or less than \$201)
18	Hawk's Provisions Restaurant, Sacramento, CA	N/A	\$15,001 - \$50,000	None (or less than \$201)
19	Wildwood Restaurant, Sacramento, CA	N/A	\$50,001 - \$100,000	None (or less than \$201)
20	annual distribution from inherited beneficiary IRA	N/A	IRA distribution	
21	annual distribution from inherited beneficiary IRA	N/A	IRA distribution	\$950

7. Transactions

This report has no reported **Transactions**

8. Liabilities

This report has no reported **Liabilities**

9. Gifts and Travel Reimbursements

This report has no reported **Gifts and Reimbursements**

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation. This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period. The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children. This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period. This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period. This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish

a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).